

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PHENOLIC GROUP-CONTAINING PHOSPHONITE COMPOUND AND PROCESS FOR MAKING THE SAME

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
092108102	Taiwan	9/April/2003	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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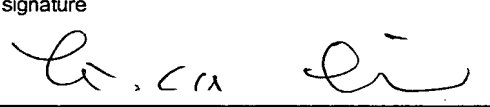

I (we) hereby appoint the following as our representative(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please see attachment

Send Correspondence to:  
**LINIAK, BERENATO & WHITE**  
 6550 Rock Spring Drive  
 Suite 240  
 Bethesda, Maryland 20817-1132  
 U.S.A.

Direct Telephone Calls to:  
 (301)896-0600

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor				
Erica LIN				
Inventor's signature				Date
				July 4, 2003
Residence:	City	State	Country	Citizenship
5F, No. 135, Sec. 3, Roosevelt Rd.,			Taipei City, Taiwan	Taiwan
Mailing Address				
same as residence				
Full name of second joint inventor, if any				
Ching-Yie SU				
Second Inventor's signature				Date
				July 4, 2003
Residence:	City	State	Country	Citizenship
5F-1, No. 4, Alley 10, Lane 96, Sec. 2, Ho-Ping E. Rd.,			Taipei City, Taiwan	Taiwan
Mailing Address				
same as residence				

(F-66 A4)

☐

Additional inventors are being named on separately numbered sheets attached hereto.

Attachment

Thomas P. Liniak	33,415
Joseph W. Berenato, III	30,546
Joseph A. Rhoa	37,515
Guillermo E. Baeza	35,056
Matthew Stavish	36,286
Mztthw F. Johnston	41,096

Applicant or Patentee: 1. Erica LIN 2. Ching-Yie SU

Serial or Patent No.: \_\_\_\_\_ Attorney's

Docket NO: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: PHENOLIC GROUP-CONTAINING PHOSPHONITE COMPOUND AND PROCESS FOR MAKING THE SAME

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (I) and 1.27 (b)) — INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes or paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled PHENOLIC GROUP-CONTAINING PHOSPHONITE COMPOUND AND PROCESS described in FOR MAKING THE SAME

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME FDC LEES CHEMICAL INDUSTRY CO., LTD.

ADDRESS 5F, No. 135, Sec. 3, Roosevelt Rd., Taipei City, Taiwan

☐ INDIVIDUAL

☒ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent; notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Erica LIN

Ching-Yie SU

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

July 4, 2003

Signature of Inventor

July 4, 2003

Signature of Inventor

Date

Date

Date

Applicant or Patentee: 1. Erica LIN 2. Ching-Yie SU Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket NO:  
Filed or Issued:  
For: PHENOLIC GROUP-CONTAINING PHOSPHONITE COMPOUND AND PROCESS FOR MAKING THE SAME

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) -SMALL BUSINESS CONCERN

I hereby declare that I am

- (X) the owner of the small business concern identified below:  
( ) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN FDC LEES CHEMICAL INDUSTRY CO., LTD.  
ADDRESS OF CONCERN 5F, No. 135, Sec. 3, Roosevelt Rd., Taipei City, Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year. and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PHENOLIC GROUP-CONTAINING PHOSPHONITE COMPOUND AND PROCESS FOR MAKING THE SAME by inventor(s)

1. Erica LIN 2. Ching-Yie SU

described in

- (X) the specification filed herewith  
( ) application serial no. \_\_\_\_\_ filed \_\_\_\_\_  
( ) patent no. \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below' and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). 'NOTE: Separate verified statements are required from each person; concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

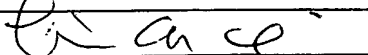
NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss on entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Erica LIN  
TITLE OF PERSON OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING 5F, No. 135, Sec. 3, Roosevelt Rd., Taipei  
City, Taiwan

SIGNATURE  Date July 4, 2003